SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number: 3235-02								
Estimated average burden								
hours per response:	0.5							

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										
(City)	(State)	(Zip)								
,					Form filed by Person	/ More than One	e Reporting			
NEW BRAUNFELS	ТХ	78130		X	Form filed by	/ One Reporting	Person			
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)						
555 IH 35 SOU	TH, SUITE 500		12/10/2020		Jenior	vice rieside	in .			
(Last) (First) (Middle)		(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 12/10/2020		,	· Vice Preside	/			
				x	Officer (give below)		ther (specify elow)			
1. Name and Addre	ess of Reporting Pers <u>ott T</u>	son*	2. Issuer Name and Ticker or Trading Symbol RUSH ENTERPRISES INC \TX\ [RUSH]		tionship of Rep all applicable) Director) to Issuer 0% Owner			
			or Section 30(h) of the investment Company Act of 1940							
Instruction 1(b).		Filed	pursuant to Section 16(a) of the Securities Exchange Act of 1934	Ļ	Lt	ours per respons	se: 0.5			

1. Title of Se	curity (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)			4. Securities Acqu Disposed Of (D) (5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
				Code	v	Amount	(A) or (D)	Price	(Instr. 3 and 4)	(1150. 4)	(Instr. 4)
Class A Co	mmon Stock	12/10/2020		A		19.0825(1)(2)	Α	\$40.77	5,576.2052(1)(3)	D	
Class B Co	mmon Stock	12/10/2020		A		164.8714(1)(2)	Α	\$36.92	120,456.431(1)(4)	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	Conversion or Exercise Price of Derivative	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares						

Explanation of Responses:

1. On October 12, 2020, the Issuer effected a 3-for-2 stock split of its common stock. The amount of securities reported on this Form 4 have been adjusted to reflect the stock split.

2. These shares were acquired pursuant to a dividend reinvestment feature of the Rush Enterprises. Inc. Deferred Compensation Plan.

3. Includes certain shares included in the Rush Enterprises, Inc. Deferred Compensation Plan.

4. Includes unvested restricted stock and certain shares and unvested restricted stock units included in the Rush Enterprises, Inc. Deferred Compensation Plan.

Steven L. Keller, Attorney in 12/14/2020 Fact for Scott T. Anderson ** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See