SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

	Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)
$^{\circ}$	Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL									
OMB Number:	3235-0287								
Estimated average	burden								

hours per response 0.5

1. Name and Address of Reporting Person [*] RUSH WILLIAM M RUSTY			2. Issuer Name and Ticker or Trading Symbol <u>RUSH ENTERPRISES INC \TX\</u> [RUSH]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director X 10% Owner					
					Officer (give title	л	Other (specify		
(Last) (First) (Middle)			3. Date of Earliest Transaction (Month/Day/Year)	Х	below)		below)		
555 IH 35 SOUTH, SUITE 500			09/10/2020	CEO and President					
555 III 55 500 III, 50112 500									
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6 Indiv	idual or Joint/Group I	Filing	(Check Applicable		
NEW				Line)		inig	(oneck Applicable		
BRAUNFELS	TX	78130		Х	Form filed by One I	Repor	ting Person		
					Form filed by More	than (One Reporting		
(City)	(State)	(Zip)			Person				
(,)	()	(

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

			-		•			-		
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	 Reported Transaction(s) (Instr. 3 and 4) 		(Instr. 4)
Class A Common Stock	09/10/2020		A		89.228(1)	A	\$48.3	82,933.9113(2)	D	
Class B Common Stock	09/10/2020		A		371.2772 ⁽¹⁾	A	\$42.3	458,344.4617(3)	D	
Class A Common Stock								1,486.4293	I	By 3MI Partners L.P.
Class B Common Stock								1,623,635.5393	Ι	By 3MF Partners L.P.

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		5. Number tion of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. These shares were acquired pursuant to a dividend reinvestment feature of the Rush Enterprises, Inc. Deferred Compensation Plan.

2. Includes certain shares included in the Rush Enterprises, Inc. Deferred Compensation Plan.

3. Includes unvested restricted stock and certain shares and unvested restricted stock units included in the Rush Enterprises, Inc. Deferred Compensation Plan.

Steven L. Keller, Attorney in Fact for William M. Rusty

09/14/2020

<u>Rush</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.